

## BondMatch

### APPLICATION FORM FOR ADMISSION AND REGISTRATION OF A RESPONSIBLE PERSON DEDICATED TO BONDMATCH

*This application will only be taken into consideration when this form and all necessary documents have been returned to the Membership Department (OCA), who may request additional information*

**Please note**

- The term “Member” in this application shall refer to a Member or to an applicant for membership, as the case may be. It shall in no circumstances actually confer any such membership by itself.
- A Responsible Person shall have the following duties, pursuant to article 2202 of the Euronext Rule Book – Book I and Notice 2-01 (art 2),
  - Have the authority to adjust or withdraw any orders submitted under his responsibility,
  - Assure himself the competence and suitability of any person conducting business under his responsibility,
  - Ensure, as far as possible, that all business conducted by the member is conducted in accordance with the Rules and Trading Manual
  - Should be able to ascertain and be willing to disclose Euronext the immediate source of all orders,
  - Ensure that at least one of the Member’s Responsible Persons is contactable during Euronext European market hours
  - Is required to oversee the trading activities of any person conducting business under his responsibility.

**Please send this form and the supporting documents (ID card) to**

Euronext Membership  
Beursplein 5  
1012 JW Amsterdam  
The Netherlands

## 1. DETAILS OF THE MEMBER FIRM

---

(Section to be completed by the member firm)

Name of Member firm	
Member address in full	

requests the registration as a **Responsible Person** of the following person, within the meaning of the BondMatch Rule Book and Notice 2.01

Full name	
Position with Member firm	

## 2. DETAILS OF THE PROSPECTIVE RESPONSIBLE PERSON

---

(This section to be completed by the prospective Responsible Person)

Family name	
First name(s)	
Office address	
Country	
Telephone (office)	
Mobile phone	
Email (office)	
Nationality	

This application form should be accompanied by:

<b>ENCLOSED:</b>	<b>YES</b>
A scanned good copy of a <b>valid proof of identity</b> , such as a passport (no driving license)	

### 3. BONDMATCH RULE BOOK

---

Upon being registered as such by Euronext Paris S.A. as operator of BondMatch Responsible Person shall be bound by all applicable rules, regulations, general conditions and notices with relation to the function of Responsible Person laid down from time to time by Euronext Paris S.A., in the BondMatch Rule Book. Each of the Member and the Responsible Person is aware that providing incomplete or inaccurate information may constitute grounds for due termination by Euronext Paris S.A. at any given moment of the applicant's position as a Responsible PersonEuronext Rule Book

A Responsible Person who has been registered by Euronext shall be bound by all applicable rules, regulations, general conditions and notices with relation to the function of Responsible Person laid down at any time by Euronext, in the Euronext Rule Book, Books I and II and Notices issued pursuant to the Rule Book.

The prospective Responsible Person is aware that providing incomplete or inaccurate information may at all times constitute grounds for termination at any given moment of the applicant's position as a Responsible Person.

This application is also governed by the said rules.

#### 4. SWORN STATEMENT

---

I, the undersigned

Last Name: (followed by maiden name if applicable)	
First Name(s)	
Date of Birth (day, month and year)	
Place of Birth	
do hereby certify that I have not been subject to: <ul style="list-style-type: none"><li>■ any criminal conviction, any civil or administrative sanction liable to prohibit my managing, administering or directing a legal entity, or my exercising a commercial activity;</li><li>■ any investigation or proceeding which could lead to criminal conviction or a civil or administrative sanction liable to prohibit my managing, administering or directing, of a legal entity, or my exercising, of a commercial activity.</li></ul>	
Are there any other facts or circumstances which might reasonably be considered relevant to the evaluation of your compliance with the requirements for admission as a Responsible Person at Euronext as specified in the Euronext Rulebook, Books I and II, especially but not exclusively as they relate to financial problems and professional conflicts? <input type="checkbox"/> Yes <input type="checkbox"/> No	
If yes, <b>please</b> explain:          	
Signature of the Prospective Responsible Person	
Date	

## 5. STATEMENT BY THE PROSPECTIVE RESPONSIBLE PERSON

---

1. By signing this form, the prospective Responsible Person agrees to comply immediately with requests from Euronext's Membership Department (OCA) for any information, which this department deems necessary for a thorough evaluation of this application.
2. The prospective Responsible Person also agrees and hereby authorises Euronext to submit this form to the Competent Authority. The Competent Authority may hold an enquiry into the antecedents of the prospective Responsible Person.
3. The prospective Responsible Person and the Member are aware that Euronext may decide to register the prospective Responsible Person on the express condition that no facts emerge from the Competent Authority's investigation into antecedents, which could obstruct registration.
4. The prospective Responsible Person agrees that in connection with this application Euronext's Membership Department (OCA) may request information on the prospective Responsible Person from authorities and/or persons (previous employers, etc.).
5. The prospective Responsible Person also agrees and hereby grants permission for any information on him/her present in the files kept by the Competent Authority to be provided to Euronext in connection with his/her application for registration as a Responsible Person dedicated to BondMatch.
6. The prospective Responsible Person agrees to accept the obligation that Euronext should be able to reach him/her at all times during BondMatch trading hours.

The undersigned declare that this form and appendices have been completed truthfully and in full.

**All changes to the above information must be reported immediately** in writing to Euronext's Membership department (OCA) to [EuronextMembership@euronext.com](mailto:EuronextMembership@euronext.com)

Authorised Representative(s) currently registered by Euronext			
Full name		Full name	
Position		Position	
Signature		Signature	
Place		Place	
Date		Date	