
Operational Notice

09 July 2020

ON_28/2020

Shareholder Rights Directive II: publication of technical/functional documentation

For the attention of:

Re:

ICPs/DCPs/Issuers/Account Operators

Shareholder Rights Directive II: publication of
technical/functional documentation

Dear Client,

Further to [MN_06/2020](#), we inform you that a second set of technical/functional documentation has been finalized to support the adaptation activities of Monte Titoli Participants in view of the Shareholder Rights Directive II.

A. Intermediaries and Issuers participating in the FIS service that have opted to use the XML format (ISO 20022):

XML format FIS ISSUER data scheme for shareholder participation communications on Italian securities that provides:

- i. A flow for notification of participation in the shareholders' meeting (cf. file ZIP Issuer: MX_seev_004_001_06 ISSUER v 0.1);
- ii. A flow for the possible cancellation of notifications already forwarded (cf. file ZIP Issuer: MX_seev_005_001_06 ISSUER v.0.1);
- iii. The use of 5 sections named "Supplementary Data" relating to the flow for the notification of participation in the shareholders' meeting with the creation of specific XSD validation data schemes for the mapping of specific information of the Italian market already used in the proprietary TXT format and not present in the ISO 20022 format, provided in order to ensure the informative correspondence between proprietary and XML data schemes (cf. file ZIP Issuer: montetitoli.supl.020.001.01/.02/.03/.04/.05);

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- iv. The use of 5 sections named “Supplementary Data” relating to the flow for any cancellation of notifications already sent, with the creation of specific XSD validation data schemes for the mapping of specific information of the Italian market already used in the proprietary TXT format and not present in the ISO 20022 format, provided in order to ensure the informative correspondence between proprietary and XML data schemes (cf. Attached file ZIP Issuer: montetitolisupl.020.001.01/.02/.03/.04/.05);

B. Intermediaries participating in the FIS Service that hold foreign securities

TXT and XML format FIS INVESTOR data scheme for shareholder participation notices on foreign securities, implemented according to the standard proposed by the Directive, without any market specificity (not yet published by individual CSDs) – cfr. file ZIP Investor.

C. Issuers and Intermediaries:

MT-X User Manual - Shareholders' Meeting and Shareholder's Identification, updated with the functionalities introduced by Shareholder Rights Directive II, in Italian and English.

The data schemes indicated in points A and B are available in the document folder of the MT-X Platform, in the specific folder Home >Documentation >Technical Documentation > User data schemes in processing > SRDII; the MT-X user manual indicated in point C is available in MT-X in the folder Home >Documentation >Technical Documentation >User manuals in processing.

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It should also be noted that we found a typo in the wording of the document "MT-RNI-DS002 DDS Shareholders' Meetings v.1.3" - Standard for Users for the "Transmission of notices of the Shareholders Meeting" through the RNI channel – already published on the MT-X platform - in the "IDC 7AY - DATE TERMINE CONVOCAZIONE", for the "data termine ", "ora termine", "UTC" sub-fields for which the brackets that identify the option have been added. The document was replaced and published in the same MT-X folder.

Please use the following email addresses for any requests for clarification:

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