

# Communication form – Euronext Equity Section

THIS FORM SHALL BE USED BY CLEARING MEMBERS TO FULFIL THE COMMUNICATION OBLIGATIONS CONCERNING THE SIGNING OF AN AGREEMENT WITH THEIR CLIENTS/TRADING CLIENTS INCLUDING THE "MINIMUM PROVISIONS" ACCORDING TO THE REGULATIONS

Unless otherwise indicated, capitalized terms used herein shall have the same meaning as the definitions contained in the Regulations, the Instructions, and the General Conditions.

Pursuant to Euronext Clearing Regulations and Instructions (hereinafter only, the 'Regulations' and the 'Instructions'), the:

- ..... [hereinafter: *Clearing Member*]
- ..... [hereinafter: *Designated Clearing Member*]

with respect to

with respect to the Trading Client / Client \_\_\_\_\_, and the Positions indicated in the Account Structure Form, subsequently updating the Account Structure Form where necessary,

is hereby notifying to Euronext Clearing that it has

- entered into
- terminated

the agreement under (please tick one all the relevant boxes):

<b>Euronext Legacy Markets / Equity Section</b>			
<input type="checkbox"/>	GCM - Trading Client agreement Euronext Legacy Markets	Article B.2.3.1-bis of the Regulations	

<input type="checkbox"/>	CM - Client agreement for Euronext Equity Section (ISA account structure)	Article B.2.4.1, paragraph 1, of the Regulations, with respect to Euronext Equity Section	The Clearing Member / Designated Clearing Member guarantees that such agreement conforms with the minimum provisions provided by Euronext Clearing and that it shall remain effective at least until the transfer of Positions to a different Clearing Member or the termination of the membership in the relevant Section
<input type="checkbox"/>	Pre-default agreement porting of Positions of Client Euronext Equity (ISA)	Article B.2.4.1, paragraph 2, of the Regulations , with respect to Euronext Equity Section	
<input type="checkbox"/>	Post default agreement porting of Positions of Client Euronext Equity (ISA)	Article B.2.4.1, paragraph 4, of the Regulations , with respect to Euronext Equity Section	
<input type="checkbox"/>	CM – Client agreement for Euronext Equity Section (GOSA and/or NOSA)	Art. B.1.1.1-bis, paragraph 7 of the Instructions	
<input type="checkbox"/>	Pre-default agreement porting of Positions of Client Euronext Equity (GOSA and NOSA)	Article B.2.5.1, paragraph 1 of the Regulations with respect to Euronext Equity Section	
<input type="checkbox"/>	Post default agreement porting of Positions of Client Euronext Equity (GOSA and NOSA)	Article B.2.5.1, paragraph 3 of the Regulations with respect to Euronext Equity Section	

The *Designated Clearing Member* accepts that, in the event of transfer of Positions, it takes on the role of the Clearing Member from the transfer to him of Positions and guarantees of the Client. To such purpose, the *Designated Clearing Member* confirms it has entered into the Contract with Euronext Clearing for the supply of the Service(s) by the latter.

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First name and family name of the Legal or Contractual Representative:

.....

Tel.: .....

E-mail: .....

.....[The Clearing Member/Designated Clearing Member]

Place and date: .....

Seal/stamp and signature

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This form, duly filled in and signed, shall be sent by registered mail (and in advance by e-mail) or, alternatively by certified e-mail only, to:

**CASSA DI COMPENSAZIONE E GARANZIA S.p.A.**

Membership Department - Risk Management & Membership Division

Piazza degli Affari, 6

20123 Milano

e-mail: [CCP-membership@euronext.com](mailto:CCP-membership@euronext.com)

The Italian text of this document shall prevail over the English version