

Application form – Approval as a Euronext Growth Advisor for investment firms that are not members of Euronext Growth (Oslo) or Oslo Børs

The investment firm _____ hereby applies to be approved as an advisor to issuers applying for admission to trading on Euronext Growth (Oslo) ("Euronext Growth Advisor").

The admission to trading rules that apply for Euronext Growth (Oslo) apply from the point in time at which the investment firm is granted approval by Oslo Børs. In the event that a Euronext Growth Advisor breaches the admission rules for Euronext Growth (Oslo), the rules on sanctions in Euronext Growth Oslo Rulebook -part II section 4.4 shall apply accordingly to the extent they are relevant.

The relevant rules are available on Oslo Børs' website, <https://www.euronext.com/nb/markets/oslo>.

Name and Address of the Euronext Growth Advisor

Name:	
Address:	
Org. number:	
Website:	

Contact persons and persons from the Euronext Growth Advisor who are to act as advisors (Details of at least two persons must be provided)

	Advisor 1:	Advisor 2:
Name:		
Position:		
Tel/mobile:		
Email:		

Oslo Børs must be notified of any changes in writing without undue delay. Persons from the Euronext Growth Advisor who are to act as advisors must attend a course in the regulations at Oslo Børs. Exemptions may be granted if an advisor can provide evidence of sufficient experience and expertise.

Location: _____ Date: _____

(Signature on behalf of the Euronext Growth Advisor)

Name in block capitals

Name in block

The following must be attached to the application:

<input type="checkbox"/>	Latest annual report
<input type="checkbox"/>	Articles of Association
<input type="checkbox"/>	Certificate of corporate registration that is not more than three months old
<input type="checkbox"/>	If relevant, the power of attorney currently held by the individual signing on behalf of the Euronext Growth Advisor
<input type="checkbox"/>	Confirmation from Finanstilsynet of the authorisations held by the firm
<input type="checkbox"/>	CVs for the persons from the Euronext Growth Advisor who are to act as advisors, and if relevant a statement on their expertise and experience
<input type="checkbox"/>	Details of the internal procedures that have been set up for the purposes of preventing potential conflicts of interest
<input type="checkbox"/>	If the investment firm applying for approval is not authorised to provide corporate finance services in Norway pursuant to Section 2-1, first paragraph, item 6 and 7 and Section 2-6, first paragraph, item 3 of the Norwegian Securities Trading Act, this must be stated. References to equivalent relevant authorisations must be provided, together with explanations of the points of difference and similarity relative to Norwegian securities legislation.
<input type="checkbox"/>	Information on any previous breaches of stock exchange or securities legislation or an appropriate negative statement