

29/04/2021

Clearing members portability for clearing members

AGREEMENT BETWEEN CLEARING MEMBERS FOR THE PORTABILITY OF THE CLIENTS OF THIRD PARTY OMNIBUS ACCOUNT AND/OR OF THE NET OMNIBUS SEGREGATED CLIENT ACCOUNT ACCORDING TO THE ART. B. 3.1.2 OF THE CC&G RULES

Pursuant to Article B.2.5.1 (“Agreement between Clearing Members”) of the CC&G Rules, the Clearing Member [hereinafter: *Designated Clearing Member*]

(indicate the Company Registered Name and the ABI Code)

ABI Code:

is hereby notifying that it has an existing contractual relationship with the Clients of the Clearing Member

.....[hereinafter: *Clearing Member*]

(indicate the Company Registered Name and the ABI Code)

ABI Code:

whose positions and guarantees are recorded in the omnibus client account:

.....

.....

and/or

in the net omnibus segregated client account:

.....

.....

for the purpose of succeeding in the Contractual Positions and acquiring the guarantees of these, in the default events of the *Clearing Member*.

In this respect, the *Clearing Member* confirms that it has obtained the request from the clients of the above-mentioned account/s to benefit from the transfer to the Designated Clearing Member identified by them of Contractual Positions and guarantees.

Moreover, the *Designated Clearing Member* also notifies you, in the events provided in Article B.6.2.1 ("Default of the Clearing Member") of CC&G Rules that the assets and positions of the above-mentioned omnibus account shall be transferred to:

the omnibus client account:

-
-

and/or

the net omnibus segregated client account:

-
-

and to have adopted agreements with these clients on the base of which he accepts this responsibility. He attaches the copies of the agreements (the "Outline agreement between General Clearing Member and Trading Client - minimum clauses") with the clients operating in the role of Trading Client of CC&G.

The Designated Clearing Member takes on the role of the Clearing Member from the transfer to him of Contractual Positions and guarantees of the clients.

For any communications, please contact:

First name and family name: [*Designated Clearing Member*]

Tel.:

E-mail:

The Clearing Member

Place and date:

Seal/stamp and signature

[Designated Clearing Member]

For any communications, please contact:

First name and family name: *[Clearing Member]*

Tel.:

E-mail:

The Clearing Member

Place and date:

Seal/stamp and signature

[Clearing Member]

This Agreement, duly filled in and signed, shall be sent by mail (and in advance by e-mail, as appropriate) to:

CASSA DI COMPENSAZIONE E GARANZIA S.p.A.

Risk Management & Membership

Piazza degli Affari, 6

20123 Milano

e-mail: CCG-membership@euronext.com

The Italian text of this document shall prevail over the English version