



PART VI - IDENTIFICATION OF CONTROLLING PERSONS

Controlling Person information is required for the following entity types:

- Passive NFEs for CRS purposes.
- Investment Entities located in a Non-Participating Jurisdiction for CRS purposes and managed by another Financial Institution.
- Passive NFEs for FATCA purposes.

IDENTIFICATION OF CONTROLLING PERSON

Name

Surname

Place of birth

Date of birth

Residence Address

Name of the entities

Entity 1

Entity 2

Entity 3

COUNTRY OF RESIDENCE FOR TAX PURPOSES AND RELATED TAXPAYER IDENTIFICATION NUMBER OR FUNCTIONAL EQUIVALENT ("TIN")

Please indicate the Country where the Controlling Person is tax resident and the tax identification number - TIN

Country	TIN	If no TIN available enter Reason A, B or C
1	<input type="text"/>
2	<input type="text"/>
3	<input type="text"/>

* If a TIN is unavailable please provide appropriate reason A, B or C where appropriate:

Reason A - the country where the entity is liable to pay tax does not issue TINs to its residents.

Reason B - the entity is otherwise unable to obtain a TIN or equivalent number

Reason C - No TIN is required (only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed)

Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above

1	
2	
3	

TYPE OF CONTROLLING PERSON

Type of controlling people	Entity 1	Entity 2	Entity 3
a. Controlling Person of a legal person - control by ownership			
b. Controlling Person of a legal person - control by other means			
c. Controlling Person of a legal person - senior managing official			
d. Controlling Person of a trust - settlor			
e. Controlling Person of a trust - trustee			
f. Controlling Person of a trust - protector			
g. Controlling Person of a trust - beneficiary			
h. Controlling Person of a trust - other			
i. Controlling Person of a legal arrangement (non-trust) - settlor-equivalent			
l. Controlling Person of a legal arrangement (non-trust) - trustee-equivalent			
m. Controlling Person of a legal arrangement (non-trust) - protector-equivalent			
n. Controlling Person of a legal arrangement (non-trust) - beneficiary-equivalent			
o. Controlling Person of a legal arrangement (non-trust) - other-equivalent			

DECLARATION AND SIGNATURE

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the relationship with CC&G setting out how that CC&G may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Account Holder, any Accounts of same, and Controlling Person(s) may be:

- (i) Reported to the tax authorities of the country in which such Account(s) is/are maintained; and
- (ii) Exchanged with tax authorities of another country or countries in which the Account Holder and Controlling Person(s) may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange Financial Account information with the country/ies in which the account(s) is/are maintained.

I certify that I am the Controlling Person or am authorized to sign for the Account Holder and Controlling Person(s) in respect of all the Financial Account(s) to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise CC&G within 30 days of any change in circumstances which affects the tax residency status and entity classifications and Controlling Person(s) identified in Parts 1 to 7 of this form or causes the information contained herein to become incorrect, and if requested, to provide CC&G with a suitably updated Self-Certification and Declaration within such time.

Date

Signature

Print Name