

Quick Market Guide

# USA

Financial instruments managed  
through links via DTCC



**MONTETITOLI**

A EURONEXT COMPANY

# Quick Market Guide: Access through DTCC for USA.

Monte Titoli provides a single gateway to a growing number of international securities markets including both ICSDs.

## General Information

Eligible securities through  
**the link:** ISINs NL0010545661,  
NL00150001Q9, NL0011585146

### Transaction types:

DCP shall submit transactions  
via: SWIFT 15022, SWIFT  
20022, X-TRM, RNI

ICP can submit instructions via:  
SWIFT 15022, SWIFT 20022,  
X-TRM, RNI

### Counterparties must match:

Depository BIC Code:

DTCCUS3NSET

MT BIC Code: MOTIITMMXXX

MT Account: 00002008

Settlement is offered for OTC  
transactions on Free of  
Payment, **unmatched** basis for  
all eligible Securities in the link

Additional T2S Settlement  
features: Not available

### Corporate Actions on Flows:

available according to MT  
operational instructions

### Depository information:

Depository Trust and Clearing  
Corporation [www.dtcc.com](http://www.dtcc.com)

### Service restrictions:

Please refer to the matrix of  
Restrictions to the Activity of  
Intermediaries available  
*Intermediaries – Cross Market  
Documents* of Monte Titoli's  
web site

## Settlement Information

### Market Standard Cycle

Equities	T+2
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### Instructions deadlines for same-day settlement

FOP Delivery and Receipt	7.00am – 5.30pm
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### Instructions received after deadline

Monte Titoli will, on a reasonable effort basis, attempt to process instructions that have been received or validated after the applicable input deadline, as close as possible to the market deadline.

**Note:** the attempt to process instructions after the applicable input deadline only applies to instructions that have been correctly formatted for stp.

## Reporting/Confirmations

	Transactions status	Settlement	Statement of holding/transactions
SWIFT 20022 from T2S	sese.024	sese.025	semt.017- semt.018
SWIFT 15022	548	546	535-536
X-TRM	G56	G56	X
RNI	x	71N	706

## Market Features

**Matching:** Not required

**Matching Tolerance:**

Not applicable to FOP transactions

**Recycling period for:**

**Unmatched transactions and unsettled transactions:** Not applicable to dump instructions

**Management of corporate actions affecting securities placed in DTC:** Available

**Market holiday:**

Please refer to the DTCC web site: [www.dtcc.com](http://www.dtcc.com)

**Disclosure requirements and holding restriction:**

Please refer to the DTCC web site: [www.dtcc.com](http://www.dtcc.com)

## Instruction Formats

### SWIFT ISO 15022

(Complete instructions formats are available on SWIFT MyStandards)

MT540/1/2/3 – Receive / Deliver Free of / Against Payment

M	:98A:	:SETT	Settlement date
M	:98A:	:TRAD	Trade date
M	:22F:	:SETS	Settlement System Indicator (for DTCC: 0006)

### Identification of MT Participant

M	:95a:	:DEAG	BIC/ABI/CED Code of the delivering agent
O	:97a:	:SAFE	Participant's account in Monte Titoli's books
O	:95a:	:SELL	BIC/ABI/CED Code or Name of the underlying seller
O	:22F:	:TRCA	Party capacity indicator

### Identification of the Counterparty

M	:95P:	:PSET	Place of settlement (for DTCC: DTCYUS33XXX)
M	:95P:	:REAG	BIC Code of the receiving agent
O	:97A:	:SAFE	Receiving safekeeping account
O	:95a:	:BUYR	BIC Code or Name of the underlying buyer
O	:70C:	PACO	Account of Party II Counterpart

## Asset Services

### Entitlements

#### Equities

	<b>T</b>	<b>T+1</b>	<b>T+2</b>	<b>T+3/n</b>
<b>CSD</b>	Last "CUM" trade date	Ex date	Record date	Pay date
<b>MT</b>	Last "CUM" trade date	Ex date	Record date	Pay date

The sequence of the relevant dates applicable to specific corporate events may differ from the above general rule. Monte Titoli will report the relevant applicable dates through a Service Notice or equivalent message on a case by case.

### Tax Services

	<b>Relief at source</b>	<b>Quick refund</b>
<b>Equities</b>	Available	Available

### Income Processing

<b>Payments in Euro</b>	Done on participant's account in T2 or T2S
<b>Payments in currencies</b>	Payment done on intermediary account at CCB
<b>Payment deadlines</b>	3:30pm for EUR 4:00pm for other currencies

### Corporate actions reporting

	<b>Advice</b>	<b>Notification / Confirmation</b>
SWIFT 15022	564, 568	566
RNI	721, 722, 097	7B2, 097

Corporate actions instruction deadlines are communicated in the advice messages. Instructions received after the deadline are processed on a best effort basis. Restrictions on CA instructions may apply. The restriction will be communicated in the advice messages as received by the issuer csd/custodian.

### Entitlement Compensation

OTC Trades = Equities only

## SWIFT 2022 Instruction Formats

(Complete instructions formats are available on SWIFT MyStandards).

### Identification of MT Participant

M	Settlement System	SettlementSystemMethod <SttlmSysMtd> (for for DTCC:0006)
M	MT Participant	Delivering/Receiving Party1 <Pty1>
O	Account of MT Participant	Safekeeping Account <SfkpgAcct>
O	Client of MT Participant (Beneficiary)	Delivering/Receiving Party2 <Pty2>

### Identification of the Counterparty

M	Depository	Delivering/Receiving Depository <Dpstry> (for for DTCC: DTCYUS33XXX)
M	Settlement Agent	Delivering/Receiving Party1 <Pty1>
M	Account of S.A.	Delivering/Receiving Party1 Safekeeping Account <SfkpgAcct>
O	Client of Settlement Agent	Delivering/Receiving Party2 <Pty2>
O	Account of Client of S.A.	Delivering/Receiving Party2 Contact Details < PtyCtctDtIs>

## RNI and X-TRM Instruction Format

M	G02	Trade type abbreviation	CTC
M	G07	Sign	A = deliver
M	GC0	Settlement system	06
M	GF2	Market Identifier	FME
M	G13	Trade Date	YYYYMMDD
M	GA1	Settlement Date	YYYYMMDD

### Identification of MT Participant

M	GC2	MT Participant	BIC Code of MT Participant
O	GD2	MT Participant Account	Account of S.A. in MT
O	GT6	Client of MT Participant	BIC code of the underlying client of MT Participant

### Identification of the Counterparty

M	G23	Depository	CSD Code (for EN: NECI)
M	GC3	Settlement Agent	BIC CODE of the Party I Counterparty
M	G33	Account of S.A.	Account of the Party I Counterparty
O	GTL <u>OR</u> GTN	Client of S.A.	BIC Code of the Party II Counterparty <u>OR</u> Description of Party II Counterparty
O	GTP	Account of client	Account of the Party II Counterparty

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