ApplicAtion form

First admission to trading of Equity Securities and/or depositary receipts on Euronext Regulated Markets

VERSION 0.7 AGUST 2019

### Purpose of the Application Form

Euronext Amsterdam, Euronext Brussels, Euronext Lisbon, Euronext Paris are Regulated Markets within the meaning of Union Law. Each of these Euronext regulated markets is, if not identified by name, referred to as the “Relevant Euronext Regulated Market”. The Relevant Euronext Regulated Markets are respectively organised by Euronext Amsterdam N.V., Euronext Brussels S.A./N.V., Euronext Lisbon—Sociedade Gestora de Mercados Regulamentados, S.A., Euronext Paris S.A. Each of these market undertakings is, if not identified by name, hereunder referred to as the “Relevant Euronext Market Undertaking(s)”.

This form, together with the Appendices (the “Application Form”), is intended for an Applicant wishing to apply for the first admission to trading of Equity Securities and/or Depositary Receipts (the “Relevant Securities”) onto the Relevant Euronext Regulated Market(s) (the “Admission”).

This Application Form reiterates undertakings and information which must be provided to the Relevant Euronext Market Undertaking(s) and the documentation which must be submitted according to the Rules governing the Admission onto the Relevant Euronext Regulated Market[[1]](#footnote-1) and the Euronext Fee Book.

Capitalised terms used but not otherwise defined herein shall have the meaning ascribed to such terms in the aforementioned Rules.

The information and provisions contained in this Application Form shall not detract from the enforcement of the Rules, which take precedence in the event of any conflict with this Application Form. This Application Form does not infringe the Relevant Euronext Market Undertaking(s)’ right to make the Admission subject to specific conditions or any additional information or documentation, not specifically referred to in this Application Form, it may deem appropriate. This Application Form and the information and provisions it contains are without prejudice to the applicable National Regulations and to the competences of the relevant Competent Authority.

Euronext reserves the right to request a new signed Application Form as may reasonably be required in connection with its review of an application for Admission. Such circumstances include, but are not limited to, changes to the transaction structure or a significant change of the indicative date of Admission.

### Method and timing for submission of this Application Form

This Application Form should be submitted to the Relevant Euronext Market Undertaking(s) as soon as possible, meaning:

* with regard to Admission onto Euronext Amsterdam, Euronext Brussels, Euronext Lisbon and Euronext Paris, at the latest at the time a draft prospectus is filed with the competent authority;

This Application Form, together with all required documentation, should be submitted electronically to the Relevant Euronext Market Undertaking(s) by sending it to: [admissions@euronext.com](mailto:admissions@euronext.com)

In addition, the original version of this Application Form, duly completed and signed, should be sent by post to the Relevant Euronext Market Undertaking(s) using the following address details:

* Euronext Amsterdam – Listing Execution Department, Beursplein 5, 1012 JW Amsterdam, The Netherlands.
* Euronext Brussels – Listing Execution Department, 1 Rue du Marquis, bte 1/ Markiesstraat 1, 1000 Brussels, Belgium.
* Euronext Lisbon – Listing Execution Department, Av. da Liberdade 196 7º, 1250-147 Lisboa, Portugal.
* Euronext Paris – Listing Execution Department, 14 place des Reflets, 92054 Paris La Défense Cedex, France.

**DETAILS OF THE  
 ADMISSION**

1. Issuer details

### Issuer of equity securities

|  |  |
| --- | --- |
| Statutory name: |  |
| Commercial name: |  |
| Address of registered office: |  |
| Place and country: |  |
| Registration number commercial register: |  |
| Legal Entity Identifier (LEI): |  |
| Website: |  |
| VAT number: |  |
| Represented by:  (*full name(s) and title(s)*) |  |
| Primary contacts within the company (i.e. the Chief Executive Officer and the Chief Financial Officer):  (*full name(s), telephone(s), email(s)*) |  |
| Contact details of person responsible for Investor Relations: |  |

### issuer of depositary receipts

|  |  |
| --- | --- |
| Statutory name: |  |
| Commercial name: |  |
| Address of registered office: |  |
| Place and country: |  |
| Registration number commercial register: |  |
| Legal Entity Identifier (LEI): |  |
| Website: |  |
| VAT number: |  |
| Represented by:  (*full name(s) and title(s)*) |  |
| Primary contacts within the company (i.e. the Chief Executive Officer and the Chief Financial Officer):  (*full name(s), telephone(s), email(s)*) |  |

1. Advisors details

|  |  |
| --- | --- |
| Listing Agent |  |
| Paying agent |  |
| Joint bookrunners (if applicable) |  |
| Global coordinator(s) (if applicable) |  |
| Stabilization agent (if applicable) |  |
| Other (if applicable) |  |

1. Relevant Euronext Regulated Market(s) where Admission is requested

|  |  |
| --- | --- |
| Euronext Amsterdam: |  |
| Euronext Brussels: |  |
| Euronext Lisbon: |  |
| Euronext Paris: |  |

1. Details of Securities for which Admission is requested

|  |  |
| --- | --- |
| Expected number of Relevant Securities to be admitted: | *[indicate the number of existing Shares/equivalent securities]*    *[indicate the number of new Shares/equivalent securities]*  *[number needs to be updated and/or confirmed before the date of Admission]* |
| The Admission will be on an “If-and-When-Issued” Basis: | Yes  No |
| The selected currency for the Admission is: |  |
| Nominal value of the Securities: | *[please indicate the nominal value with the relevant currency as indicated in the articles of association of the Issuer]* |
| Expected market capitalisation at the time of the first Admission: | *[please indicate estimated market capitalisation]* |
| ISIN code: |  |
|  |  |
| Desired trading symbol:  (serial of 2 (minimum) to 5 (maximum) letters and/or figures (no spaces and special symbols)) |  |
| Scheduled date of Admission:  (indicative not binding) |  |
| Application to other markets: | *[if applicable, please specify other markets (regulated, MTF or any other type of market) on which application is or will be made in the near future]* |

1. Know your customer requirements[[2]](#footnote-2)

|  |  |
| --- | --- |
| Identity (first name, last name, date of birth and position) of executive officers (CEO and persons who are authorised to represent the company): |  |
| Identity (first name, last name, date of birth and position) of board members (non-executives / supervisory board members): |  |
| Identity (first name, last name and date of birth) of natural person with a direct or indirect shareholding or an ownership interest of more than 25 % in the Issuer (where relevant): |  |

**UNDERTAKINGS AND**

##### documentation

1. Undertakings of the Issuer[[3]](#footnote-3)

### Issuer of equity securities

1. We confirm that we have taken all required steps to comply with Union Law and National Regulations, and in particular any obligations relating to prospectuses, and undertake to adhere to all initial, periodic and continuing obligations ensuing from such regulations. We confirm that we have complied and will comply with any applicable obligation, including any disclosure obligation ensuing from Union Law and/or national rules on transparency and market abuse.
2. If the present application for Admission is not subject to the requirement to publish a prospectus pursuant to Union Law and/or National Rules on prospectuses, we confirm under paragraph 7 (Documentation) of this Application Form the legal justification for this non-publication and we confirm that we hereby assume liability for any loss that may accrue to any party as a result of any misinterpretation on our part as to the applicability or otherwise of Union Law and/or National Regulations on prospectuses.
3. We confirm that:

* we and our beneficial owners are compliant with and will continue to be compliant with the EU Directive 2015/849 of the European Parliament and of the Council of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing (4th Money Laundering Directive), as amended from time to time, as well as any related regulation or national legislation; and
* we and our Beneficial Owners are not on the EU Sanction List or the sanction list drawn up by the Office of Foreign Assets Control (OFAC).

1. We confirm that we have fully familiarised ourselves with the Rules governing the Relevant Euronext Regulated Market and the Euronext Fee Book, which are available on Euronext’s website ([www.euronext.com](file:///C:\Users\dpelletier\AppData\Local\Microsoft\Windows\Temporary%20Internet%20Files\Content.Outlook\UJGBBZ11\www.euronext.com)), and we undertake to adhere at all times to the aforementioned Rules and Euronext Fee Book and any amendments thereto[[4]](#footnote-4).
2. We confirm that we are aware of and will at all times comply with the confidentiality obligation provided for in the Rules (notably, but not limited to, the confidentiality with respect to information regarding data on activity of Members in Issuers’ equity (the “Broker Market Share”)).
3. We undertake that all required steps shall be undertaken by ourselves prior to the Admission and in particular that – to the extent applicable – adequate procedures are available for the clearing and settlement of the Transactions relating to those Relevant Securities.
4. We confirm that this application for Admission relates to all Equity Securities of the same class.
5. We undertake to request that any other Equity Securities of the same class which may in the future be created, be admitted to trading on the Relevant Euronext Regulated Market.
6. We confirm that we shall also comply with the present paragraph 6 (Undertakings of the Issuer) of this Application Form in respect of any future subsequent admission.
7. We undertake to communicate to the Relevant Euronext Market Undertaking(s) all information which (i) may impact the fair, orderly and efficient functioning of the markets operated by the Relevant Euronext Market Undertaking(s) or (ii) may modify the price of its Equity Securities (ultimately) at the same time at which such information is made public.
8. We undertake to inform the Relevant Euronext Market Undertaking(s) of any corporate actions / Relevant Securities events at least two (2) trading days in advance of the earlier of (i) the public announcement of the timetable for any such corporate or Relevant Securities event or (ii) the corporate or Relevant Securities event having effect on the market or the position of the holders of the Relevant Securities.
9. On receipt of invoices issued by Euronext, we undertake to settle all fees, duties and commissions due in accordance with the procedures and conditions in force referred to on such invoices.
10. We acknowledge and accept that the delisting/cancellation of admission of our Relevant Securities for any reason whatsoever shall cause all amounts due to Euronext to become immediately payable.
11. By completing the form attached in Appendix 3 (“Direct Debit Mandate”), we confirm to opt the direct debit (SEPA **[[5]](#footnote-5)**) payment system as a new payment option.
12. We accept that our commercial references, whether brand names or otherwise, may be quoted by the Relevant Euronext Market Undertaking(s) or any other company in the Euronext group, in order to promote the Relevant Euronext Regulated Market. These commercial references may include data pertaining to transaction volumes carried out involving our Relevant Securities.
13. We confirm that those persons working for the Issuer whose personal data is included in this Application Form knowingly accept that this data is being submitted to the Relevant Euronext Market Undertaking(s) and that they, in order to inform them about the processing of their personal data, have explicitly been referred to the privacy statement on the website of Euronext accessible at: <https://www.euronext.com/en/privacy-policy>. The Issuer represents and warrants that these data are at all times collected, processed and provided to the Relevant Euronext Market Undertaking(s) in accordance with all applicable law and regulation, including without limitation that relating to the protection of individuals with regard to the processing of personal data.
14. We confirm that we have submitted this Application Form, together with the documentation referred to in paragraph 7 (Documentation) of this Application Form, to the Relevant Euronext Market Undertaking(s), and undertake to notify the Relevant Euronext Market Undertaking(s) of any changes to the information contained in this application, including any new fact which, through its impact on the company's financial situation or business conditions, may significantly affect the price of the Relevant Securities or the terms and conditions of the issuance.
15. We confirm that all information we have provided in connection with the application for Admission is in all respects accurate, complete and not misleading.

### Additional UndertakingS of the Issuer of the Depositary Receipts[[6]](#footnote-6)

1. We confirm that the Shares represented by the Depositary Receipts have been duly issued and will be placed in proper custody at the time of their Admission.
2. We confirm that we have concluded an agreement with the Issuer of the underlying shares and that the Depositary Receipts are or will be validly issued in accordance with the terms thereof as set forth in the agreement and as described in any prospectus prepared in connection with the Admission of the Depositary Receipts.

1. Documentation

In accordance with the Rules, we undertake to provide the Relevant Euronext Market Undertaking(s) with all the documents, which are required to be submitted in order for the Relevant Securities covered by this application to be admitted to trading.

The documents that need to be provided to the Relevant Euronext Market Undertaking(s) are set out in Appendices 1 and 2.

We have also indicated the documents which are not enclosed with this Application Form but which are required to be submitted in order for the Relevant Securities to be admitted to trading. We undertake to send these documents to the Relevant Euronext Market Undertaking(s) as soon as possible and at the latest by the scheduled date of Admission.

All documentation that is required to be provided shall be in English or in a language accepted by the Relevant Euronext Market Undertaking(s) (an “Euronext Language”) and in respect of certain documentation, an English or Euronext Language certified translation thereof (please see items marked as such in Appendix 1). Note that a certified translation means either (i) a translation carried out by a person qualified to do so under the law of its home country or (ii) a translated copy of the original document accompanied by a certification/statement from a person qualified to do so under the law of its home country attesting that the translation is a true and accurate translation of the original document.

For the avoidance of doubt, any obligation for the Issuer to provide documentation to the Relevant Euronext Market Undertaking(s) is for the sole purpose to allow the Relevant Euronext Market Undertaking(s) to perform its functions and meet its responsibilities as the operator of the Relevant Euronext Regulated Market. In reviewing this documentation, the Relevant Euronext Market Undertaking(s) solely performs checks on the technical information that allow the Relevant Euronext Market Undertaking(s) to operate the market. The Issuer shall not be exempted from providing the same documentation to the Competent Authority.

**SIGNATURE**

By signing this Application Form, we certify that:

1. all the information provided in connection with the application for Admission is in all respects accurate, complete and not misleading; and
2. we have read all the provisions of this Application Form and we agree to comply with all its obligations.

This Application Form and the rights and obligations of the parties hereto shall be governed by and construed:

1. in respect of Euronext Amsterdam, in accordance with the laws of the Netherlands and, without prejudice to any agreement to go to arbitration, shall be subject to the exclusive jurisdiction of the Dutch courts;
2. in respect of Euronext Brussels, in accordance with the laws of Belgium and, without prejudice to any agreement to go to arbitration, shall be subject to the exclusive jurisdiction of the Belgian courts;
3. in respect of Euronext Lisbon, in accordance with the laws of Portugal and, without prejudice to any agreement to go to arbitration, shall be subject to the exclusive jurisdiction of the Portuguese courts;
4. in respect of Euronext Paris, in accordance with the laws of France and, without prejudice to any agreement to go to arbitration, shall be subject to the exclusive jurisdiction of the French courts;

**SIGNATURE BLOCK**

[Name of Issuer][[7]](#footnote-7)

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|  |  |  |  |
| --- | --- | --- | --- |
| Name: |  | Name: |  |
| Title: |  | Title: |  |
| Date: |  | Date: |  |
| Place: |  | Place: |  |

**APPENDIX 1**

### GENERAL DOCUMENTATION TO BE PROVIDED IN VIEW OF AN ADMISSION ON ANY RELEVANT EURONEXT REGULATED MARKET(s)

|  |  |  |  |
| --- | --- | --- | --- |
| 1. General documentation | | Enclosed | To be provided |
| 1.A | Should a prospectus or other substitute document be published:   * the prospectus approved by the relevant competent authority, or other substitute document (e.g. information document), duly signed by the Issuer, * any draft prospectus or other substitute document prior to the actual approval; and * if applicable, a proof of passporting.   Should a prospectus not be published:   * the legal justification of such non-publication; and * if applicable, the document published pursuant to the relevant provision of the prospectus legislation (as amended).   *(certified translation)* |  |  |
| 1.B | A certified copy of the Issuer's consolidated articles of association (the By-laws).  *(certified translation)* |  |  |
| 1.C | A copy of minutes from the relevant company body or bodies containing resolutions approving or authorising the Admission and the issue of the Relevant Securities covered by this application (as the case may be).  *(certified translation)* |  |  |
| 1.D | An extract from the official trade register / chamber of commerce in respect of the Issuer  (“gewaarmerkt Uittreksel” / “Extrait Kbis” / “Conservatória do Registo Comercial”).  *(an English version provided by the local official trade register/chamber of commerce OR a certified translation)* |  |  |
| 1.E | A letter from the Listing Agent confirming that it shall fulfil this role for the Admission, or a copy of the contract entered into by the Issuer and Listing Agent for this purpose. |  |  |
| 1.F | All press releases published and to be published in the context of the Admission. |  |  |
| 1.G | If Admission is accompanied by the creation of new Equity Securities, a copy of the notarial deed or similar official deed certifying the creation of the new Equity Securities (if applicable). |  |  |
| 1.H | If the Equity Securities covered by this application are already listed on one or more Regulated Markets, Multilateral Trading Facility(ies) or any other type of financial market (other than a market organised within the Euronext group), a certificate from the operator(s) of the relevant market(s) certifying such listing (if applicable). |  |  |
| 1.I | If the Issuer wishes Equity Securities to be admitted to trading on an "if and when issued/delivered" basis, and if the information and undertaking(s) stipulated in Rule 6.8 of Book I of the Rules are not set out in the prospectus, a letter from the Issuer in which the aforementioned commitments are given and the aforementioned information is provided. |  |  |
| 1.J | Unless they are included in the prospectus, copies of the published or filed audited financial statements or pro forma financial statements as required by Rule 6302/1 (ii) of Book I of the Rules.  *(certified translation)* |  |  |
| 1.K | A letter from the Issuer confirming that adequate procedures are available for the clearing and settlement of transactions in respect of its securities. |  |  |
| 1.L | Certified copy of the consolidated articles of association (the By-laws) of the underlying company (for Depositary Receipts only).  *(certified translation)* |  |  |

**APPENDIX 2**

### ADDITIONAL DOCUMENTATION TO BE PROVIDED IN VIEW OF AN ADMISSION ON EURONEXT LISBON AND/OR EURONEXT PARIS

**Euronext Lisbon**

|  |  |  |  |
| --- | --- | --- | --- |
| 2.1 Additional documents to be provided for an application for Admission on Euronext Lisbon | | Enclosed | To be provided |
| 2.1.A | The identification of the Market Relations Representative (n° 4 article 233 of the Portuguese Equity Securities Code and article 24 CMVM Regulation 3/2006). |  |  |
| 2.1.B | If the issue of Equity Securities is integrated in an Equity Securities system managed by an entity situated or operating abroad, copy of the agreement in respect of a “Financial Intermediary Liaison” signed in accordance with article 25 of CMVM Regulation 3/2006). |  |  |
| 2.1.C | Certified document issued by the competent social insurance organism (“Centro Regional de Segurança Social”) indicating the status of payments due by the Issuer to the Social Insurance (“Segurança Social”) (article 227, nº 3 paragraph a) of the Portuguese Securities Code and article 15, paragraph c) e d) of the Decree-Law nº 411/91 of 17 October). |  |  |
| 2.1.D | Certified document issued by the competent taxation authority (“Repartição de Finanças da sede do Emitente””) indicating the status of payments due by the Issuer to the Taxation Authorities. (“Fazenda Nacional”) (article 227, nº 3 paragraph a) of the Portuguese Securities Code and article 1, paragraph c) e d) of the Decree-Law nº 236/95 of 13 September). |  |  |
| 2.1.F | A legal opinion confirming that the requirements of article 227 nº 1, 2 and 3 paragraph a) and article 231, n° 1 of the Portuguese Equity Securities Code are met (**only for foreign Issuers and if the Competent Authority so requires**) |  |  |

**Euronext Paris**

|  |  |  |  |
| --- | --- | --- | --- |
| 2.2 Additional documents to be provided for an application for Admission on Euronext Paris | | Enclosed | To be provided |
| 2.2.A | Certification (in French) by the consular authorities in France or by a legal opinion issued by a duly recognized law firm that the documents submitted by the Issuer are in conformance with the laws and practices of its country of origin (**for foreign Issuers only**). |  |  |

**APPENDIX 3**

**direct debit mandate**

**PART I: MANDATE**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Unique Mandate Reference** | **SEPA Direct Debit Mandate**   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | E | X | H |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | euronext_colour |

By signing this Mandate form, the company authorizes (A) EURONEXT PARIS SA to send instructions to its bank to debit its account and (B) its bank to debit its account in accordance with the instructions from EURONEXT PARIS SA.

The company is entitled to a right for an unconditional refund in application to the Directive (EU) 2015/2366 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 25 November 2015 on payment services in the internal market,. A refund must be claimed within 8 weeks from the date on which its account was debited.

Please complete all the fields marked \*

|  |  |
| --- | --- |
| **Company**  **name \*** | …………………………………………………………………………………………………………………..…………………..  Name of the Debtor(s) |
| **Address \*** | ……………………………………………………………………………………………………………………..……………......  Street name and number |
|  | |  |  |  |  |  | | --- | --- | --- | --- | --- | |  |  |  |  |  |   ………………………………………………………………………………………………..…  Postal Code City |
|  | ……………………………………………………………………………………………………………………..…………………  Country |
| **Bank account number \*** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |   Account Number – IBAN (International Bank Account Number)   |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |   SWIFT BIC (Bank Identifier Code) |
| **Creditor's**  **name \*** | **EURONEXT PARIS SA**  Creditor name |
| **SEPA Creditor Identifier \*** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | F | R | 6 | 8 | Z | Z | Z | 4 | 8 | 7 | 5 | 6 | 9 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |   Creditor Identifier |
| **Creditor Address** | 14 Place des Reflets  Street name and number |
|  | |  |  |  |  |  | | --- | --- | --- | --- | --- | | 9 | 2 | 0 | 5 | 4 |   Paris La Défense Cedex  Postal code City |
|  | FRANCE…………………………………………………………………………………….……………….…………….  Country |
| **Type of payment \*** | Recurrent payment / ~~One-off payment~~ |
| **City or town in which you are signing \*** | |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |   ………………………………………………………………….  Location Date ( D D M M Y Y YY) |
| **Signature(s) \*** | Signature, name & job title of the authorized person:   |  |  |  | | --- | --- | --- | | **Please sign here:** | **Name:** | **Title:** | |

Note: Your rights regarding the above Mandate are explained in a statement that you can obtain from your bank.

**Details regarding the underlying relationship**

|  |  |
| --- | --- |
|  |  |
| **Company on whose behalf payment is made** | ……………………………………………………………………………………………………………………..……………………………………………………………………………………………………  Name of the Debtor Reference Party: If the company is making a payment in respect of an arrangement between Euronext Amsterdam NV, Euronext Brussels SA/NV, Euronext Lisbon S.A., Euronext Paris SA and another party (e.g. where the company is paying the other entity's bill), please write the other party's company name here.  If the company is paying on its own behalf, leave blank.  **PLEASE NOTE THAT BY SIGNING HERE THE DEBTOR REFERENCE PARTY RECOGNIZES AND ACCEPTS EXPRESSLY THE DEBTOR TO BEDIRECT DEBITED SUBJECT TO THE TERMS AND CONDITIONS DEFINED HEREIN NOTABLY IN COMPLIANCE WITH THE SECTION *BELOW* ‘*IN RESPECT OF THE CONTRACT’.***  Signature, name & job title of the authorized person for the Debtor Reference Party   |  |  |  | | --- | --- | --- | | **Please sign here:** | **Name:** | **Title:** | |
| **Creditor reference party(ies)** | **Euronext Amsterdam NV, Euronext Brussels SA/NV, Euronext Lisbon SA**  Name of the Creditor Reference Party(ies): Creditor must complete this section if collecting payment on behalf of another party. |
| **In respect of the contract** | Respectively the whole underlying relationship between the Creditor or/and its Creditor Reference parties AND the Debtor or as the case may be the Debtor Reference Party unless otherwise notified in advance by the Creditor or the Creditor Reference Party |
| |  | | --- | | Please return to: Accounts Receivable Department, Beursplein 5, 1012 JW Amsterdam, The Netherlands (original copy required) |   The signatories by executing the following Mandate shall accept expressly the additional provisions:  **Euronext Paris SA acting as Creditor** For the sake of clarity, Euronext Paris SA, in addition to collecting payment on behalf of the “Creditor reference party” above, shall collect payment “for its own account” in respect of the whole underlying relationship between itself AND the Debtor, or as the case may be the Debtor Reference Party.  **Liability** For avoidance of doubt, such Mandate shall incur no joint and several liability between the Creditor and each Creditor Reference Party.  **Data Protection** The Euronext Group is strongly committed to protecting the personal data and upholding the right to privacy as provided by Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of Personal Data and on the free movement of such data (“GDPR”) and any national implementing laws and regulations of the GDPR. The Privacy Statement available at: <https://www.euronext.com/en/privacy-policy> outlines how and why Euronext collects and processes personal data and provides an overview of the rights in relation to personal data.  **Law and jurisdiction** This Mandate shall be governed by and construed under French law and the French jurisdiction shall have exclusive jurisdiction to hear and settle any action, suit, proceeding or dispute under this Mandate. | |

**PART II: INCLUSION FORM**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| **Unique Mandate Reference** | **Inclusion in the SEPA Direct Debit Mandate APPLICATION FORM**   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | E | X | H |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | euronext_colour |

By signing this Inclusion in the SEPA Direct Debit Mandate Application Form (hereinafter respectively the Inclusion Application Form and the SEPA Direct Debit Mandate), the company, as the Euronext customer acting i) as Debtor or, ii) when appropriate , as Debtor Reference Party (where its Debtor is informed by executing the form jointly), states that it wishes to use the SEPA Direct Debit Mandate for the payment transaction of the following charges only due to Euronext Amsterdam NV, Euronext Brussels SA/NV, Euronext Lisbon SA, Euronext Paris S.A. (hereinafter the Euronext Parties) as detailed below.

Capitalized terms defined in this Inclusion Application Form have the same meanings when used within the Regulation N° 260/2012 of the European Parliament and of the Council of 14 March 2012 establishing technical and business requirements for credit transfers and direct debits in euro unless specifically defined herein.

**DETAILS REGARDING THE TYPE OF CHARGES TO BE DIRECT DEBITED VIA THE SEPA DIRECT DEBIT MANDATE**

Please describe each item precisely, including details such as the underlying legal relationship, the Euronext party(s) involved, name of the service or product, so that the relevant charges can be identified clearly.

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| **Debtor company name:** …………………………………………………………………………………………………………………………...  **When appropriate, Debtor Reference Party company name :** ……………………………………………………………………………………………………….  **Restricted perimeter of the SEPA Direct Debit Mandate:**   |  |  |  | | --- | --- | --- | | **Customer code** | **Creditor or Creditor Reference Party (please mention the Euronext Party concerned)** | **Product or service** | |  |  |  | |  |  |  | |  |  |  | |  |  |  | |  |  |  | |  |  |  | |  |  |  | |  |  |  | |  |  |  | |  |  |  | | | | |
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| **The company as Euronext customer** | | Date:  Signature, name & job title of the authorized signatory of the company   |  |  |  | | --- | --- | --- | | **Please sign here:** | **Name:** | **Title:** | | | |
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| **If the Euronext customer is using a payment agent, its Debtor** *(please note that this box should only be completed if the Euronext customer has appointed a payment agent)* | | PLEASE NOTE THAT BY SIGNING HERE THE DEBTOR IS AWARE AND RECOGNIZES EXPRESSLY THAT IT IS ONLY AUTHORIZED TO BE DIRECT DEBITED IN THE NAME AND ON BEHALF OF THE COMPANY SUBJECT TO THE TERMS AND CONDITIONS DEFINED HEREIN, NOTABLY IN COMPLIANCE WITH THE SECTION “*Details regarding the type of the charges TO BE DEBITED VIA THE SEPA DIRECT DEBIT Mandate*”.  Date:  Signature, name & job title of the authorized signatory for the Debtor   |  |  |  | | --- | --- | --- | | **Please sign here:** | **Name:** | **Title:** | | |
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| Please return to: Accounts Receivable Department, Beursplein 5, 1012 JW Amsterdam, The Netherlands  (original copy required) |

For the sake of clarity, the following terms are acknowledged and accepted by the Euronext customer applying through this form for an exception to the SEPA Direct Debit systems:

**Euronext Paris S.A. acting for itself and on behalf of the other Euronext Parties reserves the right not to accept this Inclusion Application Form to the extent that such acceptance shall not be withheld unreasonably.**

**Liability:** For the avoidance of doubt, such Inclusion Application Form shall incur no joint and several liability between Euronext Paris SA and other Euronext Parties.

**Data Protection:** The Euronext Group is strongly committed to protecting the personal data and upholding the right to privacy as provided by Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of Personal Data and on the free movement of such data (“GDPR”) and any national implementing laws and regulations of the GDPR. The Privacy Statement available at: <https://www.euronext.com/en/privacy-policy> outlines how and why we collect and process personal data and provides an overview of the rights in relation to personal data.

**Law and jurisdiction:** This Inclusion Application Form shall be governed by and construed under French law and the French jurisdiction shall have exclusive jurisdiction to hear and settle any action, suit, proceeding or dispute under this Inclusion Application Form.

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##### COntacts

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1. With regard to Euronext Amsterdam, Euronext Brussels, Euronext Lisbon and Euronext Paris, the Rules governing the Admission mainly cover:

   * + Book I of the Euronext Rulebook - Harmonised Market Rules, and in particular Chapter 6, "Admission to trading and continuing obligations of Issuers"
     + Book II of the Euronext Rulebook - Specific Market Rules for Euronext Amsterdam, Euronext Brussels, Euronext Lisbon or Euronext Paris
     + Notice N° 6-01, " Euronext Policy with regard to Listing Agent"

   [↑](#footnote-ref-1)
2. In order to enable the Relevant Euronext Market Undertaking(s) to determine whether the entities and its board members are included on the EU Sanction List or the list drawn up by the Office of Foreign Assets Control (OFAC), the Issuer provides this information. Government and semi-government entities are not required to provide know your customer information. Non-EU, US and Canadian government and semi-government entities will be checked against EU Sanction List and OFAC list. [↑](#footnote-ref-2)
3. In respect of Depositary Receipts the Undertaking(s) set out in this paragraph are deemed to be made by the company of the underlying shares and the Issuer of the Depositary Receipts. [↑](#footnote-ref-3)
4. More particularly regarding application to trading on Euronext Amsterdam, Euronext Brussels, Euronext Lisbon and Euronext Paris, with any measures which may be applied by virtue of Chapter 6.9 "Listing measures" and with the obligations stipulated in Chapter 6.10 "Continuing obligations" of Book I of the Rules. [↑](#footnote-ref-4)
5. Single Euro Payments Area. Please note that this direct debit system is, at the publication date of this document, eligible in 34 countries including EU Member States. [↑](#footnote-ref-5)
6. Undertaking(s) only applicable in case of Admission of Depositary Receipts. To be complied with addition to undertakings as laid down in section 6.1. [↑](#footnote-ref-6)
7. In case of Depositary Receipts the Application Form must be duly signed on behalf of the Issuer and the underlying company. [↑](#footnote-ref-7)