



**NYSE Euronext**<sup>SM</sup>

## Euronext Cash Market

### Application form for the admission and registration of an **AUTHORISED REPRESENTATIVE** on the Euronext Cash Markets

*This application will not be taken into consideration until this form and all necessary documents have been returned to the Membership/Onboarding & Client Administration Department.*

*We may request additional information where necessary.*

We invite you to read the Euronext Notice 2-01 where global registration process of a Euronext Authorised Representative is described.

An Authorised Representative has to provide specific documents with the application form (art 3) and has singular duties (art 2) linked to this responsibility.

## 1. Details of MEMBER

*(This section to be completed by the member firm)*

<b>Name of Member firm:</b>	
<b>Member address in full:</b>	
<b>Country:</b>	

Member capacity

**Broker:**

**Dealer:**

Requests the admission of the following person:

<b>Full name:</b>	
<b>Position with Member firm:</b>	

in the function of **Authorised Representative**.

## 2. Details of PROSPECTIVE AUTHORISED REPRESENTATIVE

*(This section to be completed by the prospective Authorised Representative)*

<b>Surname:</b>	
<b>First name(s):</b>	
<b>Office address:</b>	
<b>Telephone (office):</b>	
<b>Mobile phone:</b>	
<b>Email (office):</b>	
<b>Nationality:</b>	
<b>Date of birth:</b>	
<b>Place of birth:</b>	

I Are you authorised to represent the Member by virtue of the law, articles of association, rules or otherwise?

Yes

No

If yes, please explain and enclose a copy of the authorisation:

II Are you responsible or jointly responsible for the daily management of the Member?

Yes

No

If yes, please explain:

### 3. Declaration of honour on the absence of a criminal record

I, the undersigned	
<b>LAST NAME:</b> (followed by maiden name if applicable)	
<b>FIRST NAME(S):</b>	
<b>DATE OF BIRTH (day, month and year):</b>	
<b>PLACE OF BIRTH:</b>	
do hereby certify that I have not been subject to: <ul style="list-style-type: none"><li>- any criminal conviction, any civil or administrative sanction liable to prohibit my managing, administering or directing a legal entity, or my exercising a commercial activity;</li><li>- any investigation or proceeding which could lead to criminal conviction or a civil or administrative sanction liable to prohibit my managing, administering or directing, of a legal entity, or my exercising, of a commercial activity.</li></ul>	
Are there any other facts or circumstances, which might reasonably be considered relevant to the evaluation of your compliance with the requirements for admission as an Authorised Representative at Euronext?  <input type="checkbox"/> <b>Yes</b> <input type="checkbox"/> <b>No</b>  If yes, please explain:	
<b>APPLICANT'S SIGNATURE:</b>	
<b>DATE (day, month and year):</b>	

## 4. Statement by the prospective AUTHORISED REPRESENTATIVE

- 1 By signing this form, the prospective Authorised Representative agrees to comply immediately with requests from Euronext's Membership Department for any information, which this department deems necessary for a thorough evaluation of this application.
- 2 The prospective Authorised Representative agrees that in connection with this application, Euronext's Membership Department may request information on the prospective Authorised Representative from authorities and/or persons (previous employers, etc.).
- 3 The prospective Authorised Representative also agrees and hereby grants permission for any information on him/her present in the files kept by the Competent Authority to be provided to Euronext in connection with his/her application for Authorised Representative.
- 4 The prospective Authorised Representative also agrees to accept the obligation that Euronext shall be able to reach him/her at all times during trading hours.

The undersigned declares that this form and the appendices have been completed truthfully and in full.

### Signatures of Member

(persons authorised to represent the company under its articles of association):

<b>Full name:</b>		<b>Full name:</b>	
<b>Position:</b>		<b>Position:</b>	
<b>Signature:</b>		<b>Signature:</b>	
<b>Place:</b>		<b>Place:</b>	
<b>Date:</b>		<b>Date:</b>	

### Signature of prospective Authorised Representative:

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This application form should be accompanied by:

Enclosed:	YES	NO
A copy of a <b>legally valid proof of identity</b> , such as a passport (not a driving licence)		
A recent and complete <b>curriculum vitae</b> containing the following details, as a minimum: <ul style="list-style-type: none"><li>• all previous employers (including references)</li><li>• all qualifications</li></ul>		
If necessary, a copy of <b>authorisation to represent the Member</b> by virtue of the law, articles of association, rules or otherwise (as detailed in point 2. I)		

**Please send this form to:**

*NYSE Euronext  
Onboarding & Client Administration – Mandy Poort  
P.O. Box 19163  
1000 GD Amsterdam  
The Netherlands*

**All changes to the above information must be reported immediately** in writing to Euronext's Cash markets Onboarding & Client Administration ([cashmembership@nyx.com](mailto:cashmembership@nyx.com)).